

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Travis J. PARRY et al.

Application No.: 10/829,644

Filing Date: 04/22/2004

Title: CONSUMABLE RESOURCE ACCESS CONTROL

Confirmation No.: 6984

Examiner: TBD

Group Art Unit: 2131



Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
  - ☐ Statement under 37 CFR 1.97(e), or
  - ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
  - ☐ Statement under 37 CFR 1.97(e)(1) or (2), and
  - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents; foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

~~Express Mail label no.~~

Date of Deposit 07/26/2004

I hereby certify that this is being deposited with the United States Postal Service ~~Express Mail~~ ~~Post Office to Addressess Service under 37 CFR 1.49~~ on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: Lori Wilson

Respectfully submitted,

Travis J. PARRY et al.

By

*Todd A. Rathe*

Todd A. Rathe

Attorney/Agent for Applicant(s)

Reg. No. 38,276

Date: 7/26/2004



## PATENT APPLICATION

Sheet 1 of 3

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200312834-1

APPLICATION NO.

10/829,644

CONFIRMATION NO.

6984

APPLICANT

Travis J. PARRY et al.

FILING DATE

04/22/2004

GROUP

2131

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,502,917 B1	01/07/2003	Shinada et al.	
	1B	6,481,829 B1	11/19/2002	Bailey et al.	
	1C	6,474,802 B1	11/05/2002	Lui	
	1D	6,471,334 B2	10/29/2002	Kline et al.	
	1E	6,460,984 B1	10/08/2002	Matsumoto et al.	
	1F	6,454,381 B1	09/24/2002	Olsen et al.	
	1G	6,412,911 B1	07/02/2002	Hilton et al.	
	1H	6,378,987 B2	04/30/2002	Balakrishnan et al.	
	1I	RE37,671 E	04/30/2002	Pinkernell et al.	
	1J	6,309,045 B1	10/30/2001	Suzuki et al.	
	1K	6,293,662 B1	09/25/2001	Shihoh et al.	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	EP 0 789 322	11/27/2002	Bullock et al.		
	1M	EP 0 691 207	11/07/2001	Higuma et al.		
	1N	WO 01/32431	05/10/2001	Olsen et al.		
	1O	EP 0 721171	06/07/2000	Hirst		
	1P	EP 0 720 916	07/10/1996	Hawkins		

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED

## PATENT APPLICATION

Sheet 2 of 3

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200312834-1

APPLICATION NO.

10/829,644

CONFIRMATION NO.

6984

APPLICANT

Travis J. PARRY et al.

FILING DATE

04/22/2004

GROUP

2131

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	6,270,184 B1	08/07/2001	Igarashi et al.	
	2B	6,250,750 B1	06/26/2001	Miyazawa et al.	
	2C	6,250,735 B1	06/26/2001	Kaneko et al.	
	2D	6,203,139 B1	03/20/2001	Beauchamp	
	2E	6,196,665 B1	03/06/2001	Weeks	
	2F	6,158,837	12/12/2000	Hilton et al.	
	2G	6,109,723	08/29/2000	Castle et al.	
	2H	5,870,119	02/09/1999	Chen et al.	
	2I	5,861,901	01/19/1999	Kashimura et al.	
	2J	5,821,967	10/13/1998	Solero	
	2K	5,798,777	08/25/1998	Yoshimura et al.	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER

DATE CONSIDERED

**PATENT APPLICATION**

Sheet 3 of 3

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>200312834-1</b>	APPLICATION NO. <b>10/829,644</b>	CONFIRMATION NO. <b>6984</b>
APPLICANT <b>Travis J. PARRY et al.</b>			
FILING DATE <b>04/22/2004</b>		GROUP <b>2131</b>	

**REFERENCE DESIGNATION**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	3A	5,642,143	06/24/1997	Rhoads	
	3B	5,620,641	04/15/1997	Berger	
	3C	5,610,635	03/11/1997	Murray et al.	
	3D	5,506,611	04/09/1996	Ujita et al.	
	3E	5,146,236	09/08/1992	Hirata et al.	
	3F	4,961,088	10/02/1990	Gilliland et al.	
	3G	4,771,295	09/13/1988	Baker et al.	
	3H				
	3I				
	3J				
	3K				

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	3L					
	3M					
	3N					
	3O					
	3P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	3Q	
	3R	
	3S	

EXAMINER	DATE CONSIDERED
----------	-----------------